

PAN / PEKRN

A. FATCA & CRS Information (Self Certification)

Consent for authentication and sharing of Aadhaar data:

Date: | D | D | / M | M | / Y | Y | Y | Y |

our folios with my PAN.

Place:

TATA MUTUAL FUND

Mulla House, Ground Floor, M.G. Road, Fort, Mumbai - 400 001



SUPPLEMENTARY KNOW YOUR CLIENT (KYC), Aadhaar Updation, FATCA & CRS - SELF CERTIFICATION FORM FOR INDIVIDUALS

Folio No.

Mandatory for all Applicant(s) including Joint-holder(s), Guardian (if applicable) and Power of Attorney (if any). [Please consult your professional tax advisor on your tax residency and related FATCA & CRS guidance]

Name									
Type of Addres	s given at KRA Re	sidential 🗌 B	usiness	Residential / Bus	iness 🗌 Regis	tered Office			
Nationality			Gender			Date of Birth	D D / M M	/ Y Y Y Y	
Mobile			Place of Bir	th		Country of Birth			
Father's name									
Spouse's name									
Documents rec	uired (if PAN not provi	ded) 🗌 Pa	assport Election ID Card Govt. ID Card Driving License UIDAI Card NREGA Card Others						
Identification r	umber of the documen	t provided	·			·			
	guardian's Country of Birt cate all countries in which					No No elow.			
Sr. No.	Country of Tax Re	sidency#	Та	x Payer Identifica	tion Number^	Identification 7	Type [TIN or othe	r, please specify]	
1									
2									
3									
	USA, where the individual tification Number is not a								
B. ADDITIONAL	KYC INFORMATION								
Occupation Det	ails [Please tick ()]	Service	Private Sector	Public Sector	Government Se	rvice Student	Professional	Housewife	
		Business	Retired	Agriculture	Proprietorship	Others		(please specify)	
Gross Annual In	come (Rs.) [Please tick ()]	Below 1 Lac	1 - 5 Lacs	☐ 5 - 10 Lacs	☐ 10 - 25 Lacs	□ >25 Lacs - 1 Cror	e >1 Crore		
				OR					
Net-worth (Mand	atory for Non-Individuals)	Rs.		as on DD/		(Not older tha	n 1 year)		
Politically Exposed Person (PEP) Status*			I am Related to PEP			☐ Not Applicable			
	as individuals who are or l nt/judicial/military officer			•	,	, ,	or of Government	s, senior politicians,	
C. AADHAAR DE	TAILS (Ensure all detail	s are as per Aad	haar Card) No	t mandatory for N	RIs				
Aadhaar No. (Please	enclose copy of front & back side	e) Date of Bi	rth	PIN Co	ode	Mobile No.		Enrolment Proof#	
		D D		YYY					
# If Aadhaar num	ber is applied for, please	enclose proof of e	nrolment.						
D. DECLARATIO	N:								
provided by me on a promptly. I further on Automatic Excha I hereby authorize y its Sponsor/s, Trust	erstood the information requichis Form is true, correct and agree to abide by the provisionge of Information (AEO)) to us od isclose, share, remit isees, Asset Management Cony, judicial, quasi- judicial aut	complete. I hereby a ons of the Scheme re n any form/manner/ npany, its employees	gree and confirm lated documents mode the above in , agents and third	to inform Tata Asset inter alia provisions of the control of the c	Management Limited / n 'Foreign Account Ta part of it including th ers, SEBI registered int	Tata Mutual Fund/ Trust x Compliance Act (FATC e changes/updates that i ermediaries for single u	ees for any modificat A) and Common Rep may be provided by r pdation/ submission	ion to this information orting Standards (CRS) ne to the Mutual Fund, any Indian or foreign	

I/We hereby provide my consent in accordance with Aadhaar Act, 2016 and regulations made thereunder, for (i) collecting, storing and usage (ii) validating/authenticating and (ii) updating my/our Aadhaar number(s) in accordance with the Aadhaar Act, 2016 (and regulations made thereunder) and PMLA. I/We hereby provide my/our consent for sharing/ disclose of the Aadhaar number(s) including demographic information with the asset management companies of SEBI registered mutual fund and their Registrar and Transfer Agent (RTA) for the purpose of updating the same in my/



TERMS AND CONDITIONS Call: (022) 6282 7777 (Monday to Saturday 9:00 am to 5:30 pm) · SMS: TMF To 57575



The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income tax Rules, 1962, which require Indian financial institutions to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our unit holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the folio(s) or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with us or our group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

\$It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

In case investor has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, investor to provide relevant Curing Documents as mentioned below:

FATCA/ CRS Indicia observed (ticked)	Documentation required for Cure of FATCA/ CRS indicia				
U.S. place of birth	1. Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes;				
	2. Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below); AND				
	3. Any one of the following documents:				
	a. Certified Copy of "Certificate of Loss of Nationality or				
	b. Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or Reason the customer did not obtain U.S. citizenship at birth				
Residence/mailing address in a country other than India	1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country othe than India; and				
	2. Documentary evidence (refer list below)				
Telephone number in a country other than India	If no Indian telephone number is provided				
	1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country othe than India; and				
	2. Documentary evidence (refer list below)				
	If Indian telephone number is provided along with a foreign country telephone number				
	1. Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; OR				
	2. Documentary evidence (refer list below)				
Standing instructions to transfer funds to an account maintained in a country other than India (other than	1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country othe than India; and				
depository accounts)	2. Documentary evidence (refer list below)				

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

- 1. Certificate of residence issued by an authorized government body*
- 2. Valid identification issued by an authorized government body* (e.g. Passport, National Identity card, etc.)
- * Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.

Updation of Aadhaar:

In accordance with the amendment to Prevention of Money Laundering Act (PMLA) Rules, 2017 dated June 1, 2017, Mutual Funds are mandated to obtain Aadhaar Number ("Aadhaar") from their investors and link the same to his/her/their respective folios. As per the new rules linking of Aadhaar with Mutual Fund investments is mandatory, for all the Unit holders. Failing which, the folios may be made inoperative.

Accordingly, the investors are requested to note the following:

- Every individual applicant shall submit the Aadhaar number.
- · Non-individual applicants, Aadhaar number(s) issued to managers, officers or employees holding an attorney to transact shall be submitted.
- · The applicants intending to hold units allotted in dematerialized mode, shall update the Aadhaar details in their Demat Accounts held with depository participants.

The purpose of collection/usage of Aadhaar including demographic information is to comply with applicable laws / rules / regulations and provision of the said data is mandatory as per applicable laws / rules / regulations. Post obtaining Aadhaar, TAML/Fund/RTA shall authenticate the same in accordance with the Aadhaar Act, 2016. TAML/Fund/RTA shall receive investor's demographic information which shall be used only to comply with applicable laws / rules / regulations.

Submission of Aadhaar details does not warranty linking of Aadhaar in the investor Folios. The request for Aadhaar updation will be subject to:

- Aadhaar details provided is correct;
- · Investor name & Date of Birth to be mentioned should be identical to that appearing in Aadhaar.
- Investor details matching with details available with UIDAI;
- · Authentication with UIDAI database & other required validations is successful.
- · Aadhaar will be updated in ALL his / her/their foilo(s) held with the Fund.